



Atty. Dkt. No. 088473-0144

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Masahiro YAMAMOTO et al.
Title: SHIFT CONTROL SYSTEM AND METHOD FOR V-BELT TYPE CONTINUOUSLY VARIABLE TRANSMISSION
Appl. No.: 10/664,031
Filing Date: September 17, 2003
Date Allowed: October 20, 2004
Examiner: Y. Beaulieu
Art Unit: 3661

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.56 and 37 C.F.R. § 1.97

Mail Stop Issue Fee
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Submitted herewith on a modified Form PTO/SB/08 is a listing of a document known to applicants in order to comply with applicants' duty of disclosure pursuant to 37 C.F.R. § 1.56.

The USPTO has waived the requirement under 37 CFR 1.98(a)(2)(i) to submit copies of U.S. patents and U.S. patent application publications when citing and submitting an Information Disclosure Statements in a patent application filed after June 30, 2003 and in an international application that has entered the national stage under 37 USC §371 after June 30, 2003. Accordingly, a copy of the listed document is not being supplied in connection with this application. Reference is being made to OG Notice dated August 5, 2003, *Information Disclosure Statements May Be Filed Without Copies of U.S. Patents and Published Applications in Patent Applications filed after June 30, 2003.*

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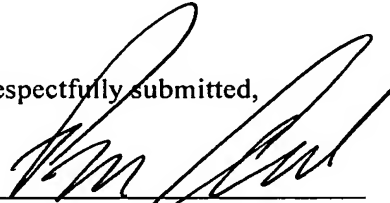
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The submission herewith of any document is not intended as an admission either that such document constitutes competent prior art against the claims of the present application or that such document is considered to be material to patentability as defined in 37 C.F.R. § 1.56(b). Applicants do not waive the right to take appropriate action to antedate any document that does not constitute a statutory bar and that is determined to be a prima facie prior art reference against the claims of the present application, or to otherwise remove such a document as a competent reference.

This Information Disclosure Statement is being filed under the provisions of 37 C.F.R. § 1.97. The document is in English. Applicants respectfully request that the Examiner consider the references vis-à-vis the present application claims. Applicants have enclosed a petition fee of \$180.00 in this regard.

Applicants respectfully request that any listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with M.P.E.P. § 609.

Respectfully submitted,



Pavan K. Agarwal
Reg. No. 40,888

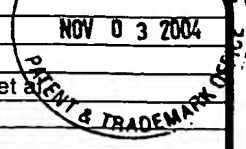
November 3, 2004

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Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT Date Submitted: November 3, 2004 <i>(use as many sheets as necessary)</i>				Complete if Known	
				Application Number	10/664,031
				Filing Date	September 17, 2003
				First Named Inventor	Masahiro YAMAMOTO et al
				Group Art Unit	3661
				Examiner Name	Y. Beaulieu
				Attorney Docket Number	088473-0144



U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
	C1	2004/0157700	A1	Katou	08-12-2004	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (if known)				

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ⁶

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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